

Efficient Utilization of Renewable Energy Sources by Gridable Vehicles in Cyber-Physical Energy Systems

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Abstract—The main sources of emission today are from the electric power and transportation sectors. One of the main goals of a cyber-physical energy system (CPES) is the integration of renewable energy sources and gridable vehicles (GVs) to maximize emission reduction. GV's can be used as loads, sources and energy storages in CPES. A large CPES is very complex considering all conventional and green distributed energy resources, dynamic data from sensors, and smart operations (e.g., charging/discharging, control, etc.) from/to the grid to reduce both cost and emission. If large number of GV's are connected to the electric grid randomly, peak load will be very high. The use of conventional thermal power plants will be economically expensive and environmentally unfriendly to sustain the electrified transportation. Intelligent scheduling and control of elements of energy systems have great potential for evolving a sustainable integrated electricity and transportation infrastructure. The maximum utilization of renewable energy sources using GV's for sustainable CPES (minimum cost and emission) is presented in this paper. Three models are described and results of the smart grid model show the highest potential for sustainability.

Index Terms—Cyber-physical energy systems, emission, gridable vehicles, load leveling, optimization, renewable energy, smart grid.

$D(t)$	Load demand at hour t .
$R(t)$	System reserve requirement at hour t .
$ch-cost_i$	Cold/hot start cost of unit i .
$\mathcal{W}_1, \mathcal{W}_2, \mathcal{W}_3$	Weights of fuel cost, start-up cost and emission, respectively.
S3P	Small portable power plant.
P_v	Capacity of each vehicle.
$N_{V2G}(t)$	Number of vehicles connected to the grid at hour t .
N_{V2G}^{\max}	Total registered GV's in the system.
V2G/G2V	Vehicle-to-grid/grid-to-vehicle.
r	Particle number.
j	Dimension of the problem.
k	Iteration index.
δ	Battery charging time.

NOMENCLATURE AND ACRONYMS

$P_{\text{wind}}(t)$	Wind power at hour t .
$P_{\text{solar}}(t)$	Solar power at hour t .
$\mathcal{F}C()$	Fuel cost function.
$\mathcal{S}C_i()$	Start-up cost function of unit i .
$\mathcal{E}C_i()$	Emission cost function of unit i .
N	Number of units.
H	Scheduling period.
$I_i(t)$	On/off state of unit i at hour t .
$P_i(t)$	Output power of unit i at hour t .
$P_i^{\max/\min}$	Maximum/minimum output limit of unit i .

I. INTRODUCTION

WITH increasing concern over global climate change, policy makers are promoting renewable energy sources (RESs) to meet emissions reduction targets. The alarming rate, at which global energy reserves are depleting, is a major worldwide concern at economic, environmental, industrial and community levels [1]–[4]. A partial solution to this crisis is (i) the use of decentralized renewable energy, and (ii) application of plug-in vehicles with vehicle-to-grid (V2G) capability – reported to as “gridable vehicles” (GVs). GV's are modified version of plug-in hybrid electric vehicles (PHEVs) or electric vehicles (EVs) for next generation to spark a revolution in the energy and transportation industries. For economical importance, environmental impact and social motivation, new generation vehicles (i.e., gridable vehicles) should have the capability to charge/discharge from/to the grid respectively in an intelligent manner that utilizes RESs efficiently.

The use of renewable energy may become attractive, especially if, customers would have to pay not only for the cost of generation but also for transmission, distribution and the indirect cost of environmental cleanup and health effects [5]. Stimulated by recent technological developments, and increasing concern over the sustainability and environmental impact of fossil fuel usage, the prospect of producing clean and sustainable power in substantial quantities from RESs arouses interest around the world. Energy prices, supply uncertainties,

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and environmental concerns are driving the world to rethink its energy mix and develop diverse sources of clean, renewable energy. Researchers are working toward generating more energy from domestic resources that can be cost-effective and replaced or renewed without contributing to climate change or major adverse environmental impacts [6].

A technical report from National Renewable Energy Laboratory (NREL) has reported that there are significant reductions in net CO₂ emissions from PHEVs [7]. The combination of fluctuating high oil costs, concerns about oil security and availability, and air quality issues related to vehicle emissions are driving interest in PHEVs. The economic incentive for owners to use electricity as fuel is the comparatively low cost of fuel. Considering cost advantages, a study by the Electric Power Research Institute (EPRI) found a significant potential market for PHEVs [8]. However, use of PHEVs will increase the electric load. Moreover, electrification of the transportation sector will need not only the re-structuring of present gasoline stations but also the modification of present electric power infrastructure.

A smart power system is adequate if there is a sufficient power supply to meet customer needs with minimum cost and emission. In the future Cyber-Physical Energy Systems (CPES), GVs should be charged from the grid with renewable sources at off-peak hours and discharged to the grid at peak hours so that cost and emissions are reduced. Researches on PHEVs and EVs are described in [10]–[16]. However, PHEVs and EVs cannot alone solve the emission problem completely, as they need electric power which is one of the main sources of emission. Therefore, success of practical application of PHEVs and EVs greatly depends on the maximum utilization of renewable energy in CPES so that the goal of emission and cost reductions from power systems and transportation sector is achieved. This model consist of intelligent power supply with smart operations to meet customer needs and choices with minimum cost and emissions in this paper. PHEVs and EVs with additional vehicle-to-grid capability and renewable energy sources in CPES can help in this issue. A dynamic optimization approach is needed to optimize the time varying resources in CPES such as RESs and GVs. Thus, a successful bridge can be made between power and transportation infrastructures through GVs.

The authors have reported unit commitment with V2G in [17] where the focus is mainly on cost, emission and cost-emission optimizations. However, in this paper the focus is mainly on smart charging-discharging operations of GVs and maximum utilization of RESs in CPES. Cyber-physical systems refer to the tight coupling of and coordination between computational and physical resources. Thermal units, wind farm, solar farm and GVs are the distributed physical resources considered in the CPES model studied in this paper. Data such as available wind power and solar power, state of charge (SoC) of GVs, load profile, etc. are collected from sensors of the distributed physical resources. On the cyber-side, intelligent computations and decisions are carried out on the dynamic data of the above mentioned physical resources for the maximum utilization of renewable sources using GVs to reduce both cost and emission in CPES.

The objective of a sustainable energy system is not only meeting the present demand but also that of the future

[18]–[21]. Such an energy system takes into consideration the cost and availability of energy resources and their emission in its framework. In this paper, a sustainable integrated electricity and transportation infrastructure is studied and the primary contributions and emphasizes are as follows: 1) illustration of the effectiveness of RESs and GVs for a sustainable CPES; 2) smart and flexible charging-discharging operations of GVs as loads and sources to get benefits from GVs for energy storages in a sustainable CPES; 3) maximum utilization of distributed RESs to reduce emission in a sustainable CPES; and 4) introduction of intelligent load leveling to reduce cost and emission of a system.

The authors make a bridge in the paper between electricity and transportation infrastructures through the sustainable CPES infrastructure. The rest of the paper is organized as follows. In Section II, problem model is formulated for power system and transportation sectors. For proper utilization of resources, and emission and cost minimizations, intelligent optimizations are described in Section III. Input data and results are reported and discussed in Section IV. Finally, the conclusion is given in Section V.

II. PROBLEM FORMULATION IN CPES

Distributed RESs, GVs and conventional thermal power plants are physical resources in a typical CPES. In the proposed model: 1) RESs, mainly wind and solar, are used to reduce emission from the power sector; 2) next generation GVs are used to reduce emission from the transportation sector; 3) GVs are smartly used as loads, storages and small portable power plants (S3Ps); 4) parking lots are used as virtual power plants (VPPs); and 5) an onboard system in a GV communicates with utility, real-time pricing center, vehicle owner's preferences, vehicle battery's SoC and so on. Based on dynamic data from sensors of the large physical system and computations, an optimization method generates intelligent schedules for proper decisions, controls and smart operations in CPES. The system uses GVs to maximize the usage of RESs in order to reduce both electricity cost and emissions from the power and transportation sectors in CPES.

The output of a solar photovoltaic (PV) panel depends on the area of PV panel, solar insolation and the efficiency of the PV panel. Typical efficiency is around 16%. The wind farm model is somewhat more complex due to the mechanical nature of a wind turbine. Generally, the power output of a wind turbine is proportional to the kinetic energy, air density, etc. contained in the wind. In some cases, manufacturer's data sheet is also available. Other parameters of this wind turbine include the cut-in wind speed, cut-out wind speed, and rated wind speed, where typical values are 3.5, 25, and 14 m/s, respectively.

Wind and solar power may not be sufficient for all the load demand. So, conventional units are also in the system. Wind and solar power is emission free. However in power systems and transportation sectors, the amount of carbon dioxide released is proportion to the amount of carbon in the fuel and the quantity of fuel burnt. Thus, a generation plant or vehicle that burns a carbon-intensive fuel will generate more carbon dioxide at increased levels of operation [22]. Other types of emissions (SO₂, NO_x, etc.) are also produced from power generation systems

and transportation sector. For environment friendly power production, emission should be measured.

A linear model is used to calculate emission from vehicles of transportation sector as follows:

$$\mathcal{EC}_i(L_i, e_i) = L_i \times e_i \quad (1)$$

where $\mathcal{EC}()$ is emission function, L_i is the length of travel by vehicle i in mile and e_i is emission per mile from vehicle i .

However, a nonlinear complex model is available for power system. In this model, emission is expressed as a polynomial function and order depends on desired accuracy. In this paper, a quadratic function is considered for the emission curve as below [23]

$$\mathcal{EC}_i(P_i(t)) = \alpha_i + \beta_i P_i(t) + \gamma_i P_i^2(t) \quad (2)$$

where α_i , β_i , and γ_i are emission co-efficients of unit i .

Fuel cost of a thermal unit is typically expressed as a second-order function of generated power of the unit

$$\mathcal{FC}_i(P_i(t)) = a_i + b_i P_i(t) + c_i P_i^2(t) \quad (3)$$

where a_i , b_i , and c_i are positive fuel cost co-efficients of unit i .

Start-up cost for restarting a decommitted thermal unit, which is related to the temperature of the boiler, is included in the model

$$\mathcal{SC}_i(t) = \begin{cases} h\text{-cost}_i, & \text{if boiler temperature is higher} \\ & \text{than a threshold} \\ c\text{-cost}_i, & \text{if boiler temperature is} \\ & \text{lower than a threshold.} \end{cases} \quad (4)$$

GVs are considered as loads or S3Ps. In the system considering GV, power supplied from distributed generations must satisfy the load demand and the system losses, which is defined as

$$\sum_{i=1}^N P_i(t) + P_{wind}(t) + P_{solar}(t) + P_v N_{V2G}(t) = D(t) + Losses, \text{ if GV's are S3Ps} \quad (5)$$

$$\sum_{i=1}^N P_i(t) + P_{wind}(t) + P_{solar}(t) = D(t) + P_v N_{V2G}(t) + Losses, \text{ if GV's are loads.} \quad (6)$$

Only registered gridable vehicles are considered for smart operations. All registered vehicles take part in smart operations during a predefined scheduling period

$$\sum_{t=1}^H N_{V2G}(t) = N_{V2G}^{\max}. \quad (7)$$

To maintain system reliability, adequate spinning reserves are required

$$\sum_{i=1}^N P_i^{\max}(t) + P_{wind}(t) + P_{solar}(t) + P_v^{\max} N_{V2G}(t) \geq D(t) + R(t), \text{ if GV's are S3Ps} \quad (8)$$

$$\sum_{i=1}^N P_i^{\max}(t) + P_{wind}(t) + P_{solar}(t) + P_v^{\max} N_{V2G}(t) \geq D(t) + R(t), \text{ if GV's are loads.} \quad (9)$$

Each unit has generation range, which is represented as

$$P_i^{\min} \leq P_i(t) \leq P_i^{\max}. \quad (10)$$

Each vehicle has a desired departure state of charge level (Ψ) and charging/discharging inverter efficiencies are also considered in the model.

In the proposed model, reductions of emissions (2) and generation costs (3)–(4) are considered as objectives of CPES and load balance (5)–(6), registered vehicles (7), reliability reserve (8)–(9), generation limit (10), state of charge, battery efficiency, parking lot limitation, etc. are constraints.

The multi-objective cost and emission reductions are solved as a weighted aggregation form in this paper. Therefore, the objective or fitness function for cost-emission optimization in CPES is –

$$\min \{ \text{fuel cost, start-up cost, emission} \}$$

or

$$\begin{aligned} & \min_{I_i(t), P_{wind}(t), P_{solar}(t), N_{V2G}(t)} \mathcal{TC} \\ & = \sum_{i=1}^N \sum_{t=1}^H \{ \mathcal{W}_1 \mathcal{FC}_i(P_i(t)) + \mathcal{W}_2 \mathcal{SC}_i(t)(1 - I_i(t-1)) \\ & \quad + \mathcal{W}_3 \psi_i \mathcal{EC}_i(P_i(t)) \} I_i(t) \end{aligned} \quad (11)$$

subject to (5)–(10) constraints.

Decision variables are $I_i(t)$, $P_{wind}(t)$, $P_{solar}(t)$ and $N_{V2G}(t)$. ψ_i is the emission penalty factor of unit i [17]. \mathcal{W}_1 , \mathcal{W}_2 and \mathcal{W}_3 are weights of fuel cost, start-up cost and emission respectively.

III. COST AND EMISSION OPTIMIZATION IN CPES

Cost and emissions are non-linear functions of generated output power of thermal units in power system (2)–(3). Conventional thermal units, GV's and RES's are considered in complex multi-dimensional search space with hundreds of constraints in CPES. Moreover, excess load for GV's should be intelligently distributed to off-peak hours to level the demand. An optimization method is required to intelligently handle the system in CPES for maximum utilization of RES's in order to reduce both cost and emission to an optimum level. Particle swarm optimization (PSO) is used to minimize cost and emission in this study because of its merits: i) PSO can optimize binary, integer and real decision variables; ii) it can handle constraints; iii) it is easy to implement, fast and robust; and (iv) it has balance between local and global search abilities. PSO is a bio-inspired algorithm based on the behavior of flock of birds and school of fish, and has similarities to other population based evolutionary algorithms [24]. Each potential solution, called a particle, flies in a multi-dimensional search space with a velocity, which is

dynamically adjusted according to the flying experience of its own and other particles.

PSO is an iterative method where velocity and position of each particle are calculated as follows:

$$v_{rj}(k+1) = [v_{rj}(k) + c_1 \text{rand}_1(pbest_{rj}(k) - x_{rj}(k)) + c_2 \text{rand}_2(gbest_j(k) - x_{rj}(k))] \left[1 + \frac{-Range}{MaxIte} (Ite - 1) \right]. \quad (12)$$

Binary PSO for conventional units:

$$I_{rj}(k+1) = x_{rj}(k+1) = \begin{cases} 1, & \text{if } U(1) < \frac{1}{1 + \exp(-v_{rj}(k+1))} \\ 0, & \text{otherwise.} \end{cases} \quad (13)$$

Integer PSO for GVs:

$$N_{V2G_{rj}}(k+1) = x_{rj}(k+1) = \text{round}(x_{rj}(k) + v_{rj}(k+1)). \quad (14)$$

Real PSO for renewable sources:

$$P_{solar_{rj}} = x_{rj}(k+1) = x_{rj}(k) + v_{rj}(k+1) \quad (15)$$

$$P_{wind_{rj}} = x_{rj}(k+1) = x_{rj}(k) + v_{rj}(k+1). \quad (16)$$

Here, a particle's best position $pbest$, global best position $gbest$, velocity v , position x , accelerating parameters c_1 and c_2 , particle no. r , problem dimension j (considering all resources and scheduling period), and iteration index k are standard terms of PSO [24]. I_{rj} and x_{rj} are matrices of sizes $H \times N$ and $H \times (N + 3)$ respectively. However, $N_{V2G_{rj}}$ is a column vector of $H \times 1$ integers for GVs that reduces dimension; $P_{solar_{rj}}$ is a column vector of size $H \times 1$ for solar power; $P_{wind_{rj}}$ is a column vector of size $H \times 1$ for wind power; and $x = [I \ N_{V2G} \ P_{solar} \ P_{wind}]$. Conventional units, GVs and RESs (wind and solar) are represented by different values of dimension j in x . Ite , $MaxIte$ and $U(1)$ are current iteration, maximum number of iterations, and a uniform number between 0 and 1 respectively. In the above velocity (12), the first term indicates the current velocity of the particle (inertia term); the second term presents the cognitive term of the particle where the particle changes its velocity based on its own private thinking and memory; and the third term is the social part where the particle changes its velocity based on knowledge derived from the interaction with other particles in the swarm. The second part of (12) provides a balance between local and global search abilities. Binary and integer PSOs are used in order to reduce the search space dimension in this optimization problem. Conventional units and GVs are represented by binary and integer numbers respectively. Binary PSO is used to determine the optimal on/off states of conventional units (13). Integer PSO is used to determine the optimal number of GVs in the constrained system (14). Real PSO is used to determine the optimal levels of solar and wind power (15)–(16). A flowchart for minimization of cost and emission using GVs and RESs in CPES is given in Fig. 1. Lambda iteration is used for dispatch of the energy resources.

IV. RESULTS

An independent system operator (ISO) of 10-unit system is considered for simulation with 50 000 GVs. Load demand and unit characteristics of the 10-unit system are collected from [25].

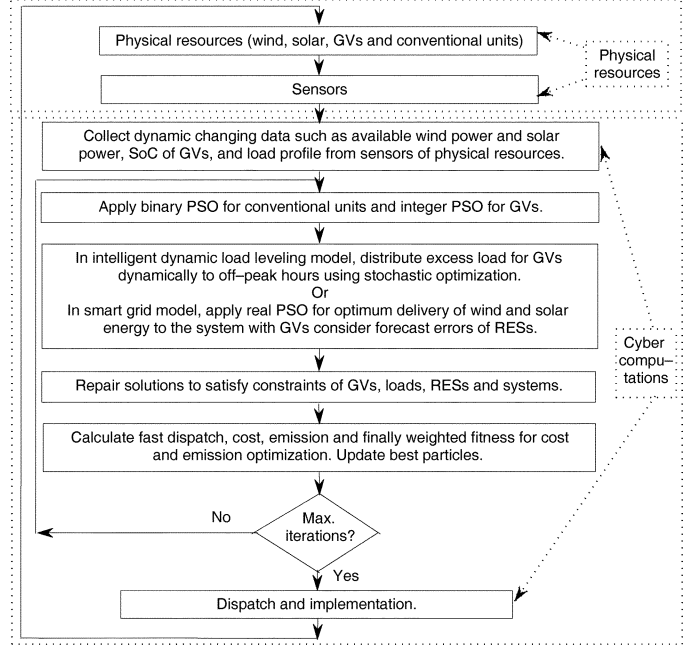


Fig. 1. Flowchart for the maximum utilization of renewable energy sources in CPES with GVs.

TABLE I
GENERATOR EMISSION CO-EFFICIENTS

Unit	α_i (ton/h)	β_i (ton/MWh)	γ_i (ton/MW ² h)
U-1	10.33908	-0.24444	0.00312
U-2	10.33908	-0.24444	0.00312
U-3	30.03910	-0.40695	0.00509
U-4	30.03910	-0.40695	0.00509
U-5	32.00006	-0.38132	0.00344
U-6	32.00006	-0.38132	0.00344
U-7	33.00056	-0.39023	0.00465
U-8	33.00056	-0.39023	0.00465
U-9	35.00056	-0.39524	0.00465
U-10	36.00012	-0.39864	0.00470

TABLE II
PLANT SIZE AND MAXIMUM CAPACITY (1662 MW) OF 10-UNIT SYSTEM

	Unit 1	Unit 2	Unit 3	Unit 4	Unit 5
P_i^{max} (MW)	455	455	130	130	162
P_i^{min} (MW)	150	150	20	20	25
	Unit 6	Unit 7	Unit 8	Unit 9	Unit 10
P_i^{max} (MW)	80	85	55	55	55
P_i^{min} (MW)	20	25	10	10	10

Emissions from coal-fired, petroleum and natural gas power plants are quite different. It is assumed that conventional thermal units are coal-fired because of low operational cost and their estimated emission co-efficients are given in Table I. Plant data is given in Table II. Three models are investigated to show the effect of GVs in power systems and transportation sector.

- Case 1 – random model: GVs are charged/discharged randomly;
- Case 2 – intelligent dynamic load leveling model: GVs are charged from conventional generation using load leveling optimization.
- Case 3 – smart grid model: GVs are charged from the grid with renewable sources at off-peak hours and discharged to the grid at peak hours.

Parameter values are –

estimated total number of vehicles in the system = 50,000;
 maximum battery capacity = 25 kWh;
 minimum battery capacity = 10 kWh;
 average battery capacity, $P_v = 15$ kWh;
 charging-discharging frequency = 1 per day;
 scheduling period = 24 h; departure state of charge, $\Psi = 50\%$; efficiency, $\xi = 85\%$ (in smart grid model); weights $\mathcal{W}_1 = \mathcal{W}_2 = \mathcal{W}_3 = 1$ (equally important); for PSO, swarm size = 30, iteration = 1,000 and accelerating parameters $c_1 = 1.5$, $c_2 = 2.5$.

A. Random Model

If 50,000 GVs are connected to the grid randomly, roughly an excess of $(50,000 * 15 \text{ kWh}) = 750$ -MWh power will be needed for the small system of a city. No optimization method is applied, as the system is fully random. In that system, peak load will be approximately 50% more in the worst case (if charging time is 1 h) and thus the system is practically not feasible.

B. Intelligent Dynamic Load Leveling Model

As the random model is not feasible, the next possible solution is load leveling. For practical applications, the number of GVs in an electric power network can be estimated analytically based on the number of electricity clients (customers) in that network. An estimate of gridable vehicles from residential electricity clients may be computed as follows:

$$N_{GV} = \frac{NV_{UC-V2G} V_{REC} N_{REC}}{AV_{HLD}} \quad (17)$$

$$AV_{HLD} = \frac{AV_{MEC}}{(30 * 24)} \quad (18)$$

where

N_{GV}	number of GVs;
NV_{UC-V2G}	% of the number of registered GVs for participation in smart operations;
V_{REC}	average number of gridable vehicles per residential electricity client;
N_{REC}	number of residential electricity clients;
X_{RL}	percentage of residential loads in the power network;
L_{min}	minimum load in the power network at given time (MW);
AV_{HLD}	average hourly load demand per residential electricity client (kW);
AV_{MEC}	average monthly electricity consumption per residential electricity client (kWh).

For example: the minimum load, L_{min} , in the 10-unit benchmark system considered in this research is 700 MW [25]. It can be taken that the average monthly electricity consumption,

AV_{MEC} , of a domestic home is about 1500 kWh [26]. Thus, average hourly electricity load of a residential client, AV_{HLD} , is 2.0833 kW. If we assume that $X_{RL} = 30\%$, the total number of clients in the region N_{REC} , is 100 801.6 and it can be rounded to 100 000 for simplicity. It is reasonable to assume that in the future, $V_{REC} = 1$, i.e., on average there will be one gridable vehicle per residential electricity client, and $NV_{UC-V2G} = 50\%$, i.e., 50% register to participate in the process. Thus, N_{GV} from (17) is about 50 000 and this is a reasonable number of vehicles to be considered on the 10-unit benchmark system for our simulation studies.

Excess energy for the GVs of the system can be estimated as follows:

$$E_{GV} = \left(\frac{L_{GV}}{M_{GV}} \right) N_{V2G}^{\max} \quad (19)$$

where

E_{GV}	excess electric energy for GVs per day;
L_{GV}	average length of travel (in mile) per day;
M_{GV}	mileage of a GV per kWh;
N_{V2G}^{\max}	number of GVs.

Average length of travel, L_{GV} is $(12,000 \text{ mi}/365 =) 32.88 \text{ mi/day}$, as an average distance driven with a vehicle is about 12,000 mi/year [26]. Typical mileage of a GV, M_{GV} is 4 mi/kWh. Therefore, a GV needs about $(32.88/4 =) 8.22 \text{ kWh/day}$. From (19), excess energy E_{GV} is $(50,000 * 8.22 \text{ kWh}) = 411 \text{ MWh}$ in a small system of 50 000 GVs each day.

If the GVs are not regulated, in the worst case peak load will be increased by $411/\delta \text{ MW}$ which is very costly for the system if charging time, δ is short. However, intelligent scheduling of GVs can soften the problem by leveling the excess load demand intelligently. Load curve of the standard 10-unit system has both peaks and valleys (see Fig. 2). According to the load curve, demand is relatively low during hours from 1st to 9th and from 14th to 24th (total 20 h). GVs can be charged from the grid during the off-peak load to level the demand. In the proposed method, extra 411 MWh load for 50 000 vehicles is intelligently distributed in the dynamic optimization model among off-peak hours without increasing the peak load so that cost and emissions are minimized (see dashed-dotted line of Fig. 2). The proposed intelligent dynamic load leveling is better than typical static load leveling where excess load is equally distributed to off-peak hours, because it may not be the optimum load leveling for cost and emission reductions.

In transportation, it is already mentioned that the average distance driven with a vehicle is about 12 000 mi per year and average emission from a light weight vehicle is 1.2 lb/mi. So, emission from a vehicle over a year is $(12,000 * 1.2 =) 14 400 \text{ lbs}$ using (1) and total emission from 50 000 mechanical vehicles is 720 000 000 lbs (326 678.76 tons) in transportation sector.

A nonlinear model is applied for emission from power plants (2). First, emission is calculated for the 10-unit system with standard input data of power plants, emission co-efficients and load demand without considering GVs. PSO is used to calculate the schedule, power dispatch and corresponding emission.

TABLE III
EMISSIONS, COST AND DISPATCH OF 10-UNIT SYSTEM (WITHOUT GVS AND RENEWABLE SOURCES)

Time (H)	U-1 (MW)	U-2 (MW)	U-3 (MW)	U-4 (MW)	U-5 (MW)	U-6 (MW)	U-7 (MW)	U-8 (MW)	U-9 (MW)	U-10 (MW)	Emission (ton)	Demand (MW)
1	455.0	244.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	682.699	700.0
2	455.0	295.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	754.716	750.0
3	455.0	265.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	772.805	850.0
4	455.0	364.9	130.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	944.857	950.0
5	455.0	285.0	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	865.388	1000.0
6	455.0	385.0	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	1049.963	1100.0
7	455.0	410.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	1130.456	1150.0
8	455.0	455.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	1241.004	1200.0
9	455.0	455.0	130.0	130.0	104.9	0.0	25.0	0.0	0.0	0.0	1272.402	1300.0
10	455.0	455.0	130.0	130.0	162.0	0.0	25.0	10.0	0.0	0.0	1332.607	1400.0
11	455.0	455.0	130.0	130.0	162.0	0.0	25.0	55.0	0.0	10.0	1361.131	1450.0
12	455.0	455.0	130.0	130.0	162.0	0.0	47.9	55.0	55.0	10.0	1387.289	1500.0
13	455.0	455.0	130.0	130.0	162.0	0.0	25.0	10.0	0.0	0.0	1332.607	1400.0
14	455.0	455.0	130.0	130.0	104.9	0.0	25.0	0.0	0.0	0.0	1272.402	1300.0
15	455.0	455.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	1241.004	1200.0
16	455.0	309.9	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	930.244	1050.0
17	455.0	260.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	853.614	1000.0
18	455.0	360.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	1022.576	1100.0
19	455.0	455.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	1241.004	1200.0
20	455.0	455.0	130.0	130.0	162.0	0.0	0.0	10.0	10.0	10.0	1370.453	1400.0
21	455.0	455.0	130.0	130.0	119.9	0.0	0.0	0.0	10.0	0.0	1283.647	1300.0
22	455.0	385.0	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	1049.963	1100.0
23	455.0	315.0	0.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	851.033	900.0
24	455.0	345.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	842.310	800.0

Total emission = 26,086.172 tons
Total running cost = \$557,744.29 (fuel cost plus start-up cost)

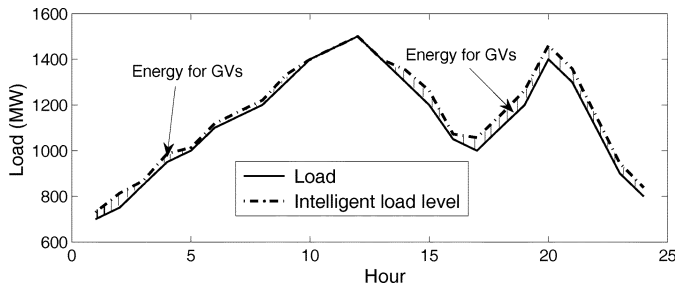


Fig. 2. Intelligent load leveling for GVs using optimization to reduce both cost and emission.

Results are shown in Table III. Then emission is calculated considering load demand including 50 000 GVs and leveling the extra load intelligently in the optimization model (Fig. 2). These results are shown in Table IV. From Tables III and IV, excess emission is 491.311 tons (26, 577.483 tons – 26, 086.172 tons) from power plants to supply energy to the 50 000 GVs during 24 h. So excess emission is $(491.311 * 365 =)$ 179 328.515 tons per year (on the other hand 326 678.766 tons from transportation sector). However, system efficiency and network losses are not considered in the model. So considering overall system efficiency and losses, emission will not be significantly reduced using intelligent load leveling only, as emission will be shifted from transportation sector to power system. Modern technologies for mileage-efficient GVs and modern emission absorption techniques for power plants can reduce emission in this model. Usually the overall efficiency of GVs (23.1%) is higher than that of conventional vehicles (12.6%) considering fuel energy that drives the wheels. On the other hand, emissions may be increased for system efficiencies and network losses of power systems. The same as emission, operation cost will not be significantly decreased in the load leveling model, as operation cost will be shifted from the transportation sector to power sector.

However, transportation fuel price is more volatile and the proposed model reduces dependency on it, which is very important in the present world.

For the load leveling model, scheduling and control of GVs are very important, as today's vehicle owners with increase in fuel cost and emission taxations over time will start having more of electric and hybrid vehicles. It will be possible to control V2G/G2V nicely based on policies, incentives and rebates put in place by the government, utilities and gridable manufacturers. Utility may provide incentives/rebates on vehicle batteries in return for V2G participation. Under such conditions, vehicle use culture/habit will most likely change and GV owners will allow their vehicles to charge/discharge in recommended hours by the utilities. GVs embedded with advanced features for V2G/G2V operations will be attractive and the easiness will be additional factor for the culture change. Examples of these advanced features include the use as an automatic intelligent agent to: 1) make charging decisions based on real-time pricing and 2) communicate with a utility agent on the GV's availability for V2G operations and state of battery charge needed at the departure time. It has been mentioned earlier that each day a vehicle covers an average estimated distance of 32.88 mi and thus takes roughly less than one hour of travel time. Therefore, it can be said that a vehicle is parked most of the time of a day, either in a parking lot or in a home garage. Vehicles can be charged/discharged during the time of a day when they are parked using automatic intelligent agents. The authors have described the practicality and controllability of GVs in [17].

C. Smart Grid Model

It is necessary to integrate RESs (wind and solar) in the sustainable CPES to reduce cost and emission. For a small city with 50 000 GVs, at least $(50,000 * 15 \text{ kWh} =)$ 750-MWh new wind and solar energy is needed to get the full benefit of GVs for

TABLE IV
EMISSIONS, COST AND DISPATCH OF 10-UNIT SYSTEM WITH 50 000 GVS IN INTELLIGENT LOAD LEVELING MODEL

Time (H)	U-1 (MW)	U-2 (MW)	U-3 (MW)	U-4 (MW)	U-5 (MW)	U-6 (MW)	U-7 (MW)	U-8 (MW)	U-9 (MW)	U-10 (MW)	Emission (ton)	Capacity (MW)	Demand* (MW)	Reserve (MW)
1	455.0	260.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	703.193	910.00	715.39	194.61
2	455.0	326.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	808.302	910.00	781.63	128.37
3	455.0	404.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	966.066	910.00	859.15	50.85
4	455.0	253.2	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	819.824	1170.00	968.26	201.74
5	455.0	291.7	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	875.887	1170.00	1006.78	163.22
6	455.0	394.1	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	1069.875	1170.00	1109.11	60.89
7	455.0	435.1	130.0	130.0	0.0	0.0	0.0	0.0	10.0	0.0	1197.600	1225.00	1160.10	64.90
8	455.0	455.0	130.0	130.0	37.5	0.0	0.0	0.0	0.0	0.0	1238.923	1332.00	1210.37	121.63
9	455.0	455.0	130.0	130.0	126.2	20.0	0.0	0.0	0.0	0.0	1280.814	1412.00	1316.30	95.70
10	455.0	455.0	130.0	130.0	162.0	42.4	25.0	0.0	0.0	0.0	1325.064	1497.01	1400.00	97.01
11	455.0	455.0	130.0	130.0	162.0	80.0	25.0	10.0	0.0	0.0	1356.117	1552.00	1450.00	102.00
12	455.0	455.0	130.0	130.0	162.0	80.0	25.0	52.1	10.0	0.0	1383.362	1607.00	1500.00	107.00
13	455.0	455.0	130.0	130.0	162.0	42.4	25.0	0.0	0.0	0.0	1325.064	1497.00	1400.00	97.00
14	455.0	455.0	130.0	130.0	136.7	20.0	0.0	0.0	0.0	0.0	1286.296	1412.00	1326.77	85.23
15	455.0	455.0	130.0	130.0	61.1	0.0	0.0	0.0	0.0	0.0	1237.929	1332.00	1231.13	100.87
16	455.0	321.1	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	949.456	1332.00	1061.14	270.86
17	455.0	288.5	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	895.445	1332.00	1028.53	303.47
18	455.0	388.1	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	1081.451	1332.00	1128.18	203.82
19	455.0	455.0	130.0	130.0	61.6	0.0	0.0	0.0	0.0	0.0	1237.951	1332.00	1231.66	100.34
20	455.0	455.0	130.0	130.0	162.0	0.0	0.0	55.0	24.7	10.0	1363.050	1497.00	1430.11	66.89
21	455.0	455.0	130.0	130.0	149.3	0.0	0.0	10.0	0.0	0.0	1297.726	1387.00	1329.41	57.59
22	455.0	410.6	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	1107.338	1170.00	1125.67	44.33
23	455.0	337.2	130.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	890.830	1040.00	922.22	117.78
24	455.0	364.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	879.920	909.99	819.10	90.89
Total emission = 26,577.483 tons														
Total running cost = \$566,898.20 (fuel cost plus start-up cost)														

Notes: *Load is intelligently leveled and demand includes the load for GVs.

reducing cost and emission. If the energy ratio from wind and solar is taken as 2:1, 500 MWh and 250 MWh of wind and solar energy respectively are available. This assumption is based on there being sufficient wind speed and solar insolation profiles for the location studied. For a given location, a realistic wind farm and solar farm size can be estimated using an optimization algorithm based on wind speed and solar insolation data over a period of time. In this study, solar insolation data is collected from NREL’s Solar Radiation Research Laboratory (SRRL) in Golden, CO [27] for the solar farm model. Wind speed data is collected from the National Wind Technology Center (NWTC) in Boulder, CO [28] for the wind farm model. The wind farm and solar farm sizes are estimated to be 25.5 and 40 MW (16% photovoltaic panel efficiency), respectively, and a typical day in the month of January forecasts of wind and solar energy are given in Table V.

In the smart grid model, GVs can be used as loads, S3Ps and storages. GVs can be utilized for harnessing renewable energy, storage, transportation, and providing power for both residential and commercial customers. The amount of cost and emission reductions mainly depends on maximum utilization of renewable energy through GVs, where GVs can discharge as well as charge. GVs are charged/discharged intelligently so that both cost and emission are minimum; however, load demand and constraints are fulfilled. Standard 10-unit system with 50 000 GVs is studied for minimizing cost and emission, and PSO is used for optimization. Results are shown in Table VI.

In Table VI, emission is 24 852.583 tons and cost is \$553 776.56 when 50,000 GVs and RESs are considered in the 10-unit system during 24 h in the smart grid. On the other hand, emission is 26 086.172 tons when GVs and RESs are not considered in the same system (Table III). Thus, smart grid with RESs and GVs reduces (26,086.172 tons – 24,852.583 tons =) 1 233.589 tons of emission per day or 450 259.985 tons per year from power sector of 10-unit small system. Besides 50,000 GVs will replace 50 000 conventional vehicles and it is already calculated that emission is 326 678.766 tons from the 50,000 vehicles. So smart grid will reduce total 776 938.751 tons

TABLE V
FORECASTS OF WIND AND SOLAR ENERGY (A TYPICAL DAY IN THE MONTH OF JANUARY)

Hour	Wind (MW)	Solar (MW)
1	10.54	0
2	22.27	0
3	25.5	0
4	25.5	0
5	25.5	0
6	25.5	0
7	25.5	0.09
8	25.5	17.46
9	25.5	31.45
10	25.5	36.01
11	25.5	38.06
12	25.5	35.93
13	25.5	36.78
14	24.82	31.59
15	20.74	9.7
16	14.62	12.92
17	25.5	0
18	19.04	0
19	25.5	0
20	18.02	0
21	25.5	0
22	21.42	0
23	0	0
24	2.55	0
Solar farm size = 40 MW		
Wind farm size = 25.5 MW		

(450 259.985 + 326 678.766) emission from power systems and transportation sector.

Fuel cost is highly volatile. The benchmark fuel cost co-efficients that are used in this simulation, are old. Thus, present cost co-efficients are much higher as current fuel cost is scaled up a lot since last decade. According to the results, smart grid with RESs and GVs saves at least (\$557, 744.29 – \$553, 776.56 =) \$3967.73 per day in the 10-unit small system. It will also save running cost from the transportation sector. It is assumed that mileage of a light weight vehicle is 20 mi/gallon and present gasoline price is \$2.6/gallon. So, transportation fuel cost will be reduced by (50,000 * (32.88 mile/20 mile) * \$2.6 =) \$213 720

TABLE VI
SMART DISPATCH OF CONVENTIONAL UNITS, RESs AND GVs AS LOADS AS WELL AS SOURCES IN SMART GRID MODEL

Time (H)	U-1 (MW)	U-2 (MW)	U-3 (MW)	U-4 (MW)	U-5 (MW)	U-6 (MW)	U-7 (MW)	U-8 (MW)	U-9 (MW)	U-10 (MW)	V2G/G2V (MW)	Solar ¹ (MW)	Wind ¹ (MW)	Emission (ton)	Capacity (MW)	Demand ² (MW)	Reserve (MW)
1	455.0	154.2	0.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	-50.01	0.00	10.73	655.056	1090.0	700.0	390.0
2	455.0	156.8	0.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	-14.32	0.00	22.45	656.939	1054.3	750.0	304.3
3	455.0	150.0	114.1	130.0	0.0	0.0	0.0	0.0	0.0	0.0	-24.09	0.00	25.05	701.944	1194.1	850.0	344.1
4	455.0	232.9	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	-23.07	0.00	25.10	794.016	1193.1	950.0	243.1
5	455.0	255.7	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-21.14	0.00	25.35	847.858	1353.1	1000.0	353.1
6	455.0	352.7	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-18.28	0.00	25.50	1008.261	1350.3	1100.0	250.3
7	455.0	398.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-13.59	0.00	25.50	1103.328	1345.6	1150.0	195.6
8	455.0	388.8	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	28.48	17.14	25.50	1082.973	1360.5	1200.0	160.5
9	455.0	431.9	130.0	130.0	25.0	20.0	25.0	0.0	0.0	0.0	26.48	31.50	25.14	1234.730	1523.5	1300.0	223.5
10	455.0	455.0	130.0	130.0	87.7	20.0	25.0	10.0	0.0	0.0	25.63	36.18	25.41	1322.868	1577.6	1400.0	177.6
11	455.0	455.0	130.0	130.0	124.5	20.0	25.0	10.0	10.0	0.0	27.08	38.31	25.01	1367.224	1634.1	1450.0	184.1
12	455.0	455.0	130.0	130.0	148.3	20.0	25.0	10.0	10.0	10.0	46.41	35.39	24.88	1412.925	1708.4	1500.0	208.4
13	455.0	455.0	130.0	130.0	98.2	20.0	25.0	10.0	0.0	0.0	14.78	36.85	25.13	1325.569	1566.8	1400.0	166.8
14	455.0	429.2	130.0	130.0	25.0	20.0	25.0	0.0	0.0	0.0	28.93	31.84	25.07	1228.074	1525.9	1300.0	225.9
15	455.0	419.5	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	10.34	9.72	20.42	1152.787	1342.3	1200.0	142.3
16	455.0	298.5	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-16.30	12.87	14.87	911.372	1348.3	1050.0	298.3
17	455.0	246.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-11.09	0.00	25.01	835.018	1343.1	1000.0	343.1
18	455.0	359.4	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-18.77	0.00	19.29	1021.514	1350.8	1100.0	250.8
19	455.0	362.1	130.0	130.0	25.0	20.0	25.0	0.0	0.0	0.0	27.55	0.00	25.41	1078.784	1324.5	1200.0	324.5
20	455.0	455.0	130.0	130.0	116.3	20.0	25.0	0.0	10.0	0.0	40.33	0.00	18.27	1333.992	1592.3	1400.0	192.3
21	455.0	446.8	130.0	130.0	25.0	20.0	25.0	0.0	0.0	0.0	42.74	0.00	25.50	1271.883	1539.7	1300.0	239.7
22	455.0	359.0	130.0	130.0	25.0	0.0	0.0	0.0	0.0	0.0	-20.32	0.00	21.24	1020.729	1352.3	1100.0	252.3
23	455.0	227.6	130.0	130.0	0.0	0.0	0.0	0.0	0.0	0.0	-42.64	0.00	0.00	787.681	1212.6	900.0	312.6
24	455.0	150.0	110.0	127.9	0.0	0.0	0.0	0.0	0.0	0.0	-45.13	0.00	2.26	697.056	1215.1	800.0	415.1

Total emission = 24,852.583 tons
Total running cost = \$553,776.56 (fuel cost plus start-up cost)

Notes: ¹Wind and solar power forecasting error is $\pm 4\%$; ²demand does not include the load of GVs; positive and negative values of V2G/G2V indicate discharging and charging respectively.

TABLE VII
SUMMARY OF INPUT DATA AND RESULTS OF 10-UNIT SYSTEM IN CPES

Item	Value
Transportation sector	
Average distance covered by a vehicle	12,000 miles/year
Number of registered GVs	50,000
Average distance covered by GVs per kWh	4.00 miles
Energy needed by a GV per day	8.22 kWh
Energy needed by 50,000 GVs per day	411 MWh
Typical percentage time a GV is parked	95%
Average emission from a light weight vehicle	1.2 lb/mile
Emission from 50,000 vehicles in transportation sector per day (year)	895.010 tons (326,678.766 tons)
Intelligent dynamic load leveling model	
Extra emission from power plants to supply energy to 50,000 GVs during one day (year)	491.311 tons (179,328.515 tons)
Net emission reduction from power system and transportation sector for 50,000 GVs per day (year)	403.699 tons (147,350.251 tons)
Smart grid model: Capital cost	
Extra energy needed for the smart grid model	750 MWh per day
Wind energy and solar energy ratio (location dependent)	2:1
Capital cost of solar power	\$5.0/W
Capital cost of wind power	\$1.0/W
Solar farm size (based on some assumption of average solar insolation)	40 MW
Wind farm size (based on some assumption of average wind speed)	25.5 MW
Total capital investment for RESs in the smart grid model with 50,000 GVs	\$225.5 million
Smart grid model: Benefits	
Emission reduction from power plants for 50,000 GVs and RESs per day (year)	1,233.589 tons (450,259.985 tons)
Total emission reduction from power plants and transportation sector for 50,000 GVs and RESs per day (year)	2128.599 tons (776,938.751 tons)
Total operational cost reduction from power system and transportation sectors for 50,000 GVs and RESs in CPES per day (year)	\$217,687.73 (\$79,456,021.45)

Note: Per year calculation is shown in the parenthesis.

per day for the 50 000 GVs. Thus, the smart grid model can reduce at least $(3,967.73 + 213,720 =)$ \$217 687.73 from power systems and transportation sector every day.

In this model, all the resources, including wind and solar energy, are intelligently scheduled to minimize both cost and emission. Optimization model uses forecasted wind and solar power, and calculates the best wind and solar power dispatch levels. A forecast error of $\pm 4\%$ is considered in this study. As operation cost of RESs is zero, optimum power level of RESs using PSO is close to the maximum available power of RESs (see Tables V and VI). From Tables III, IV and VI, emission is reduced almost all the scheduling hours for using RESs and GVs in a smart grid model.

Present capital costs for wind and solar power are about \$1/W and \$5/W respectively. So capital investment in power system is at least $(\$5 * 40.00 * 10^6 + \$1 * 25.50 * 10^6 =)$ \$225.5 million to get the full advantage of 50 000 GVs in CPES. However, it

is expected to reduce per watt capital costs of solar and wind power in near future when mass amount of solar panels and wind turbines will be produced. Data and results are summarized as a tabular form in Table VII.

Number of vehicles connected to the grid or amount of power transaction to/from the grid is not directly proportional to the load demand. Smart schedule of vehicles (amount of power transaction for V2G/G2V) depends on non-linear price curves, emission curves, load demand, constraints, fitness function, and balance between cost and emission. An intelligent optimization method can handle these factors efficiently. Fig. 3 shows an intelligent V2G/G2V distribution using PSO for the 10-unit system with 50,000 vehicles in CPES. Most of the vehicles are connected to the grid at 1st, 12th, 20th, and 24th hours because demand is either very high or very low at those hours. V2G takes place from 8th to 15th hours and again 19th to 21st hours when demand is high. However, G2V happens from 1st to 7th

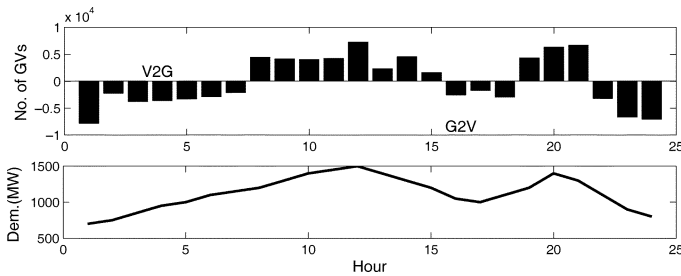


Fig. 3. Number of vehicles for V2G/G2V smart operations at each hour in CPES.

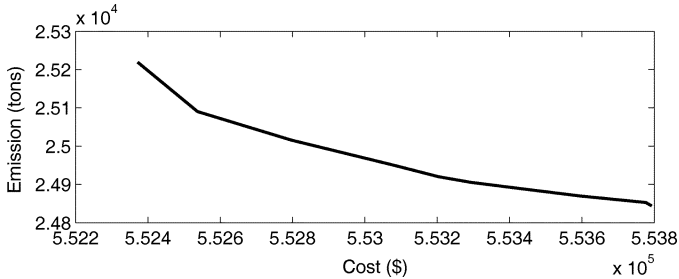


Fig. 4. Nondominated solutions for cost and emission optimization of 10-unit system with RESs and GVs in CPES.

hours, 16th to 18th hours, and 22nd to 24th hours when demand is low.

Fig. 4 shows the relation between cost and emission of the 10-unit system in CPES. There is a trade-off between cost and emission in power system. Results are nondominated to each other, i.e., if cost is low, emission is high, and vice versa. Cost of power generation and corresponding approximate emission can be estimated from the graph. Depending on operator's demand, different weights can be assigned for cost and emission in fitness function (11). Minimum cost is \$552 371.58 where emission is relatively high. On the other hand, minimum emission is 24 844.076 tons, where cost is relatively high.

Controllability is already discussed in the end of intelligent load leveling model. The same automatic intelligent agent can be applicable for the smart grid model to control the charging and discharging operations.

V. CONCLUSION

A cyber-physical energy system consisting of renewable energy, gridable vehicles and conventional thermal units is presented in this paper. Particle swarm optimization has been applied on dynamic data of physical resources to generate intelligent scheduling and control of green resources, gridable vehicles and conventional thermal units for a sustainable CPES. A sustainable CPES is illustrated by maximum utilization of RESs using GVs for cost and emission reduction. Three possible models have been studied and the smart grid model is a promising approach for sustainable integrated electricity and transportation infrastructure whereas the random mode is more or less not practical. Excess load from gridable vehicles is intelligently distributed to off-peak hours using optimization in the intelligent dynamic load leveling model; however, cost and emission reductions are not enough without RESs, as they are shifted from transportation to power sector. On the other hand,

the smart grid model needs considerable amount of capital investment for RESs. As the CPES complexity and sheer size evolves, a dynamic method to track the dynamic behavior of RESs and GVs for sustainability is needed. Furthermore, real-time price models have to be considered in the scheduling, control and optimization of gridable vehicles in CPES.

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